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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/589,747
Filing Date	June 9, 2000
First Named Inventor	Neil G. Siegel
Art Unit	3621
Examiner Name	Firmin Backer
Attorney Docket Number	15-0209

Total Number of Pages in This Submission

56

**ENCLOSURES** (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): PTO-1449 and Cited Art
Remarks Information Disclosure Statement, PTO-1449 and Fee Transmittal in duplicate		

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MAY 14 2003  
**GROUP 3600**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Connie M. Thousand; Reg. No. 43,191
Signature	<i>Connie M. Thousand</i>
Date	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

04/30/2003

Typed or printed	Marilyn L. Beaumont
Signature	<i>Marilyn L. Beaumont</i>
Date	4/30/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 09/589,747  
Filing Date June 9, 2000  
First Named Inventor Neil G. Siegel  
Examiner Name Firmin Backer  
Art Unit 3621  
Attorney Docket No. 15-0209

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MAY 14 2003  
GROUP 3600

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

20-1515

TRW INC.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

## SUBMITTED BY

Name (Print/Type)	Connie M. Thousand	Registration No. (Attorney/Agent)	43,191	Telephone	310-813-1550
Signature		Date	April 30, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Docket No. 15-0209

5/28/03  
PA  
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Neil G. Siegel, et al. )  
Serial No.: 09/589,747 ) Examiner: Firmin Backer  
Filed: June 9, 2000 )  
For: SYSTEM AND METHOD FOR ) Group Art Unit: 3621  
DISTRIBUTED NETWORK ACCESS )  
AND CONTROL ENABLING HIGH )  
AVAILABILITY, SECURITY AND )  
SURVIVABILITY )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

4/30/03  
*Marilyn L. Beaumont*  
Marilyn L. Beaumont

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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GROUP 3600

Sir:

This document is an Information Disclosure Statement to the above-identified patent application filed on June 9, 2000.

Attached hereto is at least one form PTO-1449 listing documents believed relevant to the subject application, which were disclosed in the International Search Report of the PCT Application. The submission of the following information is not intended, nor should it be construed, to constitute an admission that any patent, article, or other information referred to herein, is "prior art," unless specifically designated as such. In accordance with 37 C.F.R. Section 1.97(b), the filing of this information shall not be construed to mean that a search has been made or that no other material information may exist. Neither its submission is to be construed that a thorough search is not to be conducted by the Examiner.

This Information Disclosure Statement identifies references cited in the prosecution of a corresponding foreign application. This Information Disclosure

05/13/2003 DTESSEM1 00000099 201515 09589747

01 FC:1806 180.00 CH

**Statement is being submitted at the earliest practical time, as the International Search Report was issued on April 10, 2003, and received on April 22, 2003.**

It is believed this disclosure complies with the requirements of 37 C.F.R. Section 1.56 and the Manual of Patent Examining Procedure Section 707.05(b). If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be telephoned so that any deficiencies can be remedied. A copy of each document is enclosed. These documents are not necessarily analogous art. Additionally, the order of the following documents is not to be accorded any particular import, as the order is random.

It is respectfully requested that these documents be:

- (1) fully considered by the Patent and Trademark Office during the examination of this application; and
- (2) represented on any patent which may issue on the application.

Applicants respectfully request that a copy of the PTO-1449 Form, as considered and initialed by the Examiner, be returned with the next communication.

The Commissioner is hereby authorized to charge any fees that may be required by this paper to Deposit Account No. 20-1515. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Date: April 30, 2003



Connie M. Thousand  
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